# Game values and (sur)real numbers

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#### Outline

#### Introduction

Combinatoric games

Adding games

Ordering games

Values and numbers

Beyond numbers



## GOALS

#### Describe:

- Combinatoric games
- Surreal numbers
- Where the real numbers fit in

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Stay on this side of sanity

#### Game theory

- Classic game theory is the theory of games with *imperfect information*
- Nash equilibria and so on



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## Combinatorial game theory

- The analysis of games with perfect information
- ... accidentally led to some of the most beautiful theories of analysis



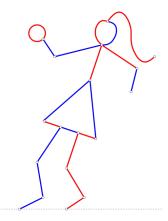
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#### Hackenbush

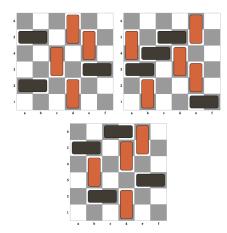
On your turn, you remove one line

- Lines no longer connected to ground are removed
- bLue lines can be removed by Left
- Red lines can be removed by Right
- greeN lines can be removed by aNyone



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## Domineering



- On your turn, you place a domino
- Left places verticaL dominoes
- Right places hoRizontal dominoes

#### Resources

- ► On Numbers and Games, Conway
- Surreal Numbers, Knuth
- Winning Ways, Berlekamp, Conway, Guy

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#### Review

We define the real numbers by:

- Building the integers as nested sets
- Building the rationals as equivalence classes of ordered pairs of integers

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- Building the reals as cuts of the rationals
- ► With deterministic games, we build all this at once
  - ...and much more!

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## Axiom 1

#### A game is:

a set of options for the Left player, and a set of options for the Right player

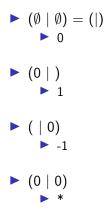
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- $\blacktriangleright X = (X^L \mid X^R)$
- Options are previously defined games
- So, what are some games?

#### Some games

 A set of options for the Left player, and a set of options for the Right player

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#### How to play a game?

- If it's your turn, you choose an option
- It's then the other player's turn in that game

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If you have no options than you lose

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Introduction

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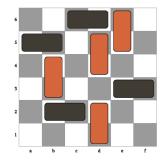
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# Adding games

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#### Axiom 2

• 
$$A + B = (A + b^L, a^L + B \mid A + b^R, a^R + B)$$

 In other words, left can pick an left option from B and add it to A (and so on)

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This is perfectly well defined, and beautifully inductive
 All games are defined in terms of previously defined games

#### Definition

▶ The **negative** of a game reverses the roles of Left and Right

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This has a nice, recursive definition
 A = (A<sup>L</sup> | A<sup>R</sup>)
 −A ≡ (−A<sup>R</sup> | − A<sup>L</sup>)

Again, relying on beautiful induction

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## Ordering games

▶ We say that games are better if they are better for Left

... in the context of adding games together

## Axiom 3

Adding game A to an existing game can't hurt Left unless
 Right has a good move

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A ≥ 0 unless
 Some option a<sup>R</sup> ≤ 0 Def: −a<sup>R</sup> ≥ 0

In other words, this game can't hurt me unless
 you can move to a game that can't hurt you

#### Partial ordering

$$\blacktriangleright A \ge B \ B \ge A \implies A = B$$

- $\blacktriangleright A \ge B \ B \not\ge A \implies A > B$
- $\blacktriangleright A \not\geq B \ B \geq A \implies A < B$
- $\blacktriangleright A \not\geq B B \not\geq A \implies A ||B$

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- Any game that is "equal to" 0 can't hurt either player
- If I add a game which the second player wins, I don't change the outcome of any game
- Similarly, if A = B, then adding A has the same effect on any game as adding B

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▶ This is not necessarily true if A||B

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#### Equivalence classes

- We define a game value as an equivalence class of games
- The rational numbers were defined for you in a similar way:
  1/2 is the equivalence class of ordered pairs (1, 2); (2, 4); ...

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#### Numbers

- The values I've defined are a very cool group.
- But not very numerical:

Games have "numerical" value if you can count free moves, which works when moving is always bad.

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Axiom 1N: what is a (surreal) number?

Recall: a game is: a set of options for the Left player, and a set of options for the Right player

$$\blacktriangleright x = (x^L \mid x^R)$$

Options are previously defined games

A number-game is: a set of options for the Left player, and a set of options for the Right player

• 
$$x = (x^L \mid x^R)$$
, s.t. no  $x^L \ge x^R$ 

Options are previously defined number-games

A number is a value associated with a class of number-games

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- We create the natural numbers as n + 1 = (n|)
- Negative integers are then defined by the negation rule:
  -n-1 = (| n)

#### Binary fractions

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#### The limit

What happens if we take the limit of all numbers we can make in a finite number of steps?

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▶ We can get all the reals ...
 ▶ e.g., 1/3 = (0, 1/4, 5/16, ... | 1, 1/2, 3/8, ...)

plus some very weird stuff

• 
$$\omega = (0, 1, 2, \dots \mid )$$

• 
$$1/\omega = (0|1, 1/2, 1/4, \dots)$$

Is 0.999... really equal to 1?

Depends on your definitions

What is 0.1111... (base 2) as a game?

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#### Ordinals

You can take as many limits as you want, and get all of the infinite ordinals, and a wide range of infinitesimals

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Axiom 1R: what is a (real) number?

Recall: a number is: a set of options for the Left player, and a set of options for the Right player

• 
$$x = (x^L \mid x^R)$$
, s.t.:  
• no  $x^L \ge x^R$ 

Options are previously defined numbers

A real number is: a set of options for the Left player, and a set of options for the Right player

• 
$$x = (x^L \mid x^R)$$
, s.t.:  
• no  $x^L \ge x^R$ 

 $\triangleright$   $x^{L}$  has a largest element iff  $x^{R}$  has a smallest element

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Options are previously defined real numbers

## Axiom 4 (not shown)

#### You can define multiplication

• Motivation:  $(x - x^{S})(y - y^{S})$  has a known sign

#### ...and construct division

Insane simultaneous induction on simpler quotients, and on the main quotient

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The surreal numbers are a field

#### Surreal arithmetic



$$\blacktriangleright \omega/2, \sqrt{(\omega)}$$

• Even crazier stuff:  $\sqrt[3]{\omega - 1} - \pi/\omega$ 

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#### Micro-infinitesimals

If we allow values that aren't numbers, we have infinitesimals that are smaller than the smallest infinitesimal numbers

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#### Temperature

Cold games are games where moving makes the position worse for your side

- Number games are games that are (recursively) cold
- Red-blue hackenbush

 Neutral games are games where the positions are the same for left and right

- The theory of Nim values
- Green hackenbush
- Hot games are games where there can be a positive value to moving
  - Example: domineering

#### Conclusion

- We can define a bewildering array of games with a simple, recursive definition
- By defining addition, we can organize these into values, which form a group under sensible game addition
- By recursively requiring making a move to have a cost, we identify a subset that we call the surreal numbers
  - these contain the reals, the infinite ordinals and a consistent set of infinitesimals
  - These surreal numbers form a field
- There are also interesting game values that are not numbers

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Game values are the best thing

#### Beyond the conclusions

- The option framework is sort of a generalization of
  - the Cantor framework for the ordinals
    - (building up, never a right option)
  - the Dedekind framework for the reals
    - (filling in, always a right option)

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## Simplicity theorem (numbers)

- The value of  $(x^L | x^R)$  is the simplest, non-prohibited value
- ▶ Prohibited: if if is larger than some  $x^R$  or less than some  $x^L$
- Simplest: earliest created; it has no options that are not prohibited
  - ... or else those would be simpler, non-prohibited values

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## More simplicity

If no non-prohibited value already exists, then the value is
 (x<sup>L</sup> + x<sup>R</sup>)/2, if both exist
 x<sup>L</sup> + 1, if only x<sup>L</sup> exists
 ...

#### Finitude

- Any game takes a *finite* number of moves to play
  - Induction: if I have a new game, and play it, it will take one more move than the option I chose
- > This number is not necessarily *bounded*.
- In particular, a number-game that does not correspond to a finite binary expansion has an unbounded number of possible moves

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(depending on what games it is added to)