

Game values and (sur)real numbers

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GOALS

- ▶ Describe:
 - ▶ Combinatoric games
 - ▶ Surreal numbers
 - ▶ Where the real numbers fit in
- ▶ Stay on this side of sanity

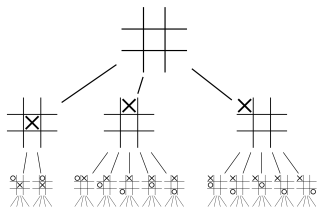
Game theory

- ▶ Classic game theory is the theory of games with *imperfect information*
- ▶ Why would that be?



Determinism

- ▶ Games with perfect information are *boring*
 - ▶ Mathematically, not practically
- ▶ Analyze the game tree; figure out who wins



Resources

- ▶ *On Numbers and Games*, Conway
- ▶ *Surreal Numbers*, Knuth
- ▶ *Winning Ways*, Berlekamp, Conway, Guy

Review

- ▶ We define the real numbers by:
 - ▶ Building the integers as nested sets
 - ▶ Building the rationals as equivalence classes of ordered pairs of integers
 - ▶ Building the reals as cuts of the rationals

- ▶ A lot of work, also, we're left with three definitions of the number 3 (and 2 of the number $3/2$)

Axiom 1: what is a game?

- ▶ A game is: a set of options for the Left player, and a set of options for the Right player
 - ▶ $x = (x^L \mid x^R)$
 - ▶ Options are *previously defined* games
- ▶ A game *state* is a game together with a specification of whose turn it is
- ▶ Motivation: Clearly define a wide range of deterministic games
 - ▶ in a way that's going to make it easy to add and subtract them
- ▶ Bonus: Highly inductive

Um, what?

- ▶ I have just defined a bewilderingly wonderful agglomeration of objects
 - ▶ We will need to “chop” it three times to get to the real numbers
- ▶ But is it clear that I've defined any objects at all?

What are some games?

- ▶ A set of options for the Left player, and a set of options for the Right player
- ▶ $(\emptyset \mid \emptyset) = (|)$
 - ▶ 0
- ▶ $(0 \mid)$
 - ▶ 1
- ▶ $(\mid 0)$
 - ▶ -1
- ▶ $(0 \mid 0)$
 - ▶ *

How to play a game?

- ▶ If it's your turn, you choose an option
- ▶ It's then the other player's turn in that game
- ▶ If you have no options than you lose

Hackenbush

- ▶ Uses a drawing with blue, red and green lines, and a “ground”
- ▶ On your turn, you remove a line
 - ▶ Lines no longer connected to ground are removed
- ▶ bLue lines can be removed by Left
- ▶ Red lines can be removed by Right
- ▶ greenN lines can be removed by aNyone

What outcomes can a game have?

- ▶ $\mathcal{O}(0) = S$ – second player wins
- ▶ $\mathcal{O}(*) = F$ – first player wins
- ▶ $\mathcal{O}(1) = L$ – Left player wins
- ▶ $\mathcal{O}(-1) = R$ – Right player wins

Axiom 2: Adding games

- ▶ To play in the game $A + B$, you move *either* in A or in B
 - ▶ $A + B = (A + B^L, A^L + B \mid A + B^R, A^R + B)$
- ▶ This is perfectly well defined, and beautifully inductive
 - ▶ All games are defined in terms of previously defined games
- ▶ Motivation: related to thinking about certain kinds of specific games
 - ▶ Also, turns out to be super-cool

Examples

- ▶ What happens if we add games with various outcomes?
 - ▶ $S + S = S$
 - ▶ $F + F = ?$
 - ▶ $L + L = L$
 - ▶ $L + R = ?$
 - ▶ $L + F = ?$

Some games are better

- ▶ We say $A \leq B$ if B is at least as good for the Left player as A
- ▶ Motivation:
 - ▶ classify games by their potential additive effects
 - ▶ put a (partial) ordering on the games

Definition

- ▶ The **negative** of a game reverses the roles of Left and Right
- ▶ This has a nice, recursive definition
 - ▶ $A = (A^L \mid A^R)$
 - ▶ $-A \equiv (-A^R \mid -A^L)$
- ▶ We then evaluate $A : B$ by looking at the outcome of $A - B \equiv A + (-B)$

At least as good

- ▶ A is at least as good as B (for Left) if $A - B$ has no good moves (for Right)
 - ▶ This means $\mathcal{O}(A - B) =$
 - ▶ L, or S

Axiom 3: Partial ordering

- ▶ We say position $A - B$ is good for Left, *unless*
 - ▶ Right has a good move
- ▶ We say $A \geq B$ *unless*
 - ▶ Some $A^R \leq B$, or
 - ▶ Some $B^L \geq A$

Partial ordering

- ▶ $\mathcal{O}(A - B)$?
 - ▶ $L \implies A > B$
 - ▶ $R \implies A < B$
 - ▶ $S \implies A = B$
 - ▶ $F \implies A \sim B$

Theorem

- ▶ If $A = B$, then:
 - ▶ $\forall X, \mathcal{O}(X + A) = \mathcal{O}(X + B)$
 - ▶ $\mathcal{O}(X + A)$
 - ▶ $= \mathcal{O}((X + A) + (B - A))$
 - ▶ $= \mathcal{O}((X + B) + (A - A))$
 - ▶ $= \mathcal{O}(X + B)$



Values

- ▶ We can thus define a game value as an *equivalence class* of games
 - ▶ A set of games that are linked by an equivalence relation
 - ▶ The rational numbers were defined last week in a similar way:
 - ▶ $1/2$ is the equivalence class of ordered pairs $(1, 2)$; $(2, 4)$; ...

Numbers

- ▶ The values I've defined are a very cool group.
- ▶ But not very numerical:
 - ▶ $* + * = 0$
- ▶ Games have “numerical” value if you can count free moves, which works when moving is always bad.



Axiom 1N: what is a (surreal) number?

- ▶ Recall: a game is: a set of options for the Left player, and a set of options for the Right player
 - ▶ $x = (x^L \mid x^R)$
 - ▶ Options are *previously defined* games
- ▶ A number is: a set of options for the Left player, and a set of options for the Right player
 - ▶ $x = (x^L \mid x^R)$, s.t. no $x^L \geq x^R$
 - ▶ Options are *previously defined* numbers

Examples

- ▶ $1 + 1 = 2$

- ▶ $(0|1)$

- ▶ $(0|2)$

- ▶ $(0|3)$

Simplicity theorem

- ▶ The value of $(x^L \mid x^R)$ is the simplest, non-prohibited value
- ▶ Prohibited: if it is larger than some x^R or less than some x^L
- ▶ Simplest: earliest created; it has no options that are not prohibited
 - ▶ ... or else those would be simpler, non-prohibited values

Integers

- ▶ We create the integers as $n + 1 = (n|)$

Binary fractions

- ▶ We create the (fractional) dyadic rationals as
 - ▶ $(2k + 1)/2^{n+1} = (k/2^n \mid (k + 1)/2^n)$
 - ▶ e.g., $7/16 = (3/8 \mid 1/2)$
- ▶ This is also how we *define* the dyadic rationals: integers divided by powers of two.

The limit

- ▶ What happens if we take the limit of all numbers we can make in a finite number of steps?
- ▶ We can get all the reals ...
 - ▶ e.g., $1/3 = (0, 1/4, 5/16, \dots \mid 1, 1/2, 3/8, \dots)$
- ▶ plus some very weird stuff
 - ▶ $\omega = (0, 1, 2, \dots \mid)$
 - ▶ $1/\omega = (0 \mid 1, 1/2, 1/4, \dots)$

0.999...

- ▶ Is 0.999... really equal to 1?
- ▶ Depends on your definitions
- ▶ What is 0.1111... (base 2) as a game?

Ordinals

- ▶ You can take as many limits as you want, and get all of the infinite ordinals, and a wide range of infinitesimals

Finitude

- ▶ Any game takes a *finite* number of moves to play
 - ▶ Induction: if I have a new game, and play it, it will take one more move than the option I chose
- ▶ This number is not necessarily *bounded*. Given a game that does not correspond to a dyadic number, it is possible to take more than N moves in it, $\forall N$.



Axiom 1R: what is a (real) number?

- ▶ Recall: a number is: a set of options for the Left player, and a set of options for the Right player
 - ▶ $x = (x^L \mid x^R)$, s.t.:
 - ▶ no $x^L \geq x^R$
 - ▶ Options are *previously defined* numbers
- ▶ A real number is: a set of options for the Left player, and a set of options for the Right player
 - ▶ $x = (x^L \mid x^R)$, s.t.:
 - ▶ no $x^L \geq x^R$
 - ▶ x^L has a largest element iff x^R has a smallest element
 - ▶ Options are *previously defined* real numbers

Axiom 4

- ▶ You can define multiplication
 - ▶ Motivation: $(x - x^S)(y - y^S)$ has a known sign

Theorem

- ▶ You can construct division and show that the surreal numbers are a field
 - ▶ Insane induction that only a genius could come up with, seriously
 - ▶ Induction simultaneously on simpler quotients, and on the quotient itself

Surreal arithmetic

- ▶ $\omega - 1$,
- ▶ $\omega/2, \sqrt{(\omega)}$
- ▶ Even crazier stuff: $\sqrt[3]{\omega - 1} - \pi/\omega$

Micro-infinitesimals

- ▶ If we allow values that aren't numbers, we have infinitesimals that are smaller than the smallest infinitesimal numbers

Nimbers

- ▶ We can define neutral games by identifying options for Left and Right
- ▶ This is the theory of Nim values

Hot games

- ▶ Hot games are games where there can be a positive value to moving
- ▶ Example: domineering

Conclusion

- ▶ We can define a bewildering array of games with a simple, recursive definition
- ▶ By defining addition, we can chop these into values, which form a group under sensible game addition
- ▶ By recursively requiring making a move to have a cost, we can chop these further into numbers, which contain the reals, the infinite ordinals and a consistent set of infinitesimals
 - ▶ These surreal numbers form a field
- ▶ Game values are the best thing

Resources

- ▶ *On Numbers and Games*, Conway
- ▶ *Surreal Numbers*, Knuth
- ▶ *Winning Ways*, Berlekamp, Conway, Guy